# PENETRATION OF N95 FILTERING-FACEPIECE RESPIRATORS BY CHARGED AND CHARGE-NEUTRALIZED NANOPARTICLES

APPLICATION NOTE RFT-007 (A4)

by Hee-Siew (Ryan) Han and Mark Prell March 4, 2010

## Introduction

Aerosol-based quantitative respirator fit testing relies on the assumption that all particles detected inside the mask arrived there through a face seal leak. This assumption is valid when respirators use high-efficiency filter media such as NIOSH series-100 or series-99 and assumes no other respirator leak paths (i.e., damage to the facepiece). However, when less efficient media such as NIOSH series-95 media is used, this assumption may no longer be valid since a significant number of particles may penetrate. During fit testing, any particles that penetrate through the filter are interpreted as face seal leakage, resulting in artificially lower fit factors, the fit test might fail even if the face seal is acceptable.

The most penetrating particle size (MPPS) for mechanical filters, which rely on diffusion, interception and impaction collection mechanisms, generally occurs at around 300 nm. However, most respirator filter media currently offered by US respirator manufacturers relies on electrostatic attraction, in addition to mechanical collection mechanisms, to capture particles. These electrostatically-charged filters media offer a significant advantage by increasing particle capture without increasing breathing resistance.

When TSI developed an accessory to the PortaCount® Respirator Fit Tester—the N95-Companion™ Model 8095\*—to measure the fit of N95 respirator filters, a particle size of 55 nm was selected because it was far removed from the MPPS for mechanical filters (300 nm). It was assumed that this particle size would be collected by an N95 filter with high efficiency, ensuring that any particles measured inside the facepiece would reflect leakage around the facepiece.

Recent studies have demonstrated; however, that the MPPS for commercially available N95 filters occurs in the range of 40 to 60 nm (*Balazy et al., 2006; Rengasamy et al., 2007*). This is the same size range that the N95-Companion™ technology uses, causing concerns to be raised that the N95-Companion™ method is not valid for N95 filtering facepiece respirators that use charged fibers.

It should be noted that the penetration results from the Balazy et al. and Rengasamy et al. studies may not apply to the N95-Companion™ method since the test aerosols used in these studies were charge-neutralized (particles with Boltzmann charge distribution), while the N95-Companion™ technology measures only negatively charged aerosols. The penetration characteristic of charged aerosols could be quite different from the charge-neutralized aerosols. Lee et al. (2005) studied the filtering efficiency of N95 and R95 respirators operating in



<sup>\*</sup>The PORTACOUNT® Plus Model 8020 and N95-Companion™ Model 8095 were discontinued in 2008 and replaced by the PORTACOUNT® PRO Model 8030 and PORTACOUNT® PRO+ Model 8038. The Model 8038 uses the N95-Companon™ technology when operated in N95-mode.

unipolarly ionized environments. They found that the aerosol penetration through the N95 and R95 respirators was significantly lower when aerosols were charged.

Previous studies performed at TSI indicated that the MPPS of the current N95 filter media is indeed in the range of 40 to 100 nm. However, TSI also found that the particles that penetrate electrostatic N95 media are primarily zero-charge particles that are unaffected by electrostatic forces, the positively and negatively charged particles are efficiently trapped by electrostatic filter media. The nominal 55 nm particles allowed to pass through to the N95-Companion™ technology are all negatively charged (and counted), while the zero-charge and positive-charge particles are eliminated by the N95-Companion™ technology for both the ambient and mask samples. Since the N95-Companion™ method uses only the efficiently trapped negative-charge particles, the fit test assumption that all particles detected inside the respirator entered via a face seal leak, remains valid. Therefore, the N95-Companion™ method remains valid.

To further quantify the amount of aerosols penetrate through the N95 filter media and its effect on the fit factor, TSI conducted a study to measure the fractional penetration efficiencies of several commercially available N95 filtering-facepiece respirators using charge-neutralized, positively charged, and negatively charged monodisperse aerosols.

It should be understood that naturally occurring ambient aerosol particles typically used during respirator fit testing with a condensation particle counter such as the TSI PortaCount® fit tester carry a mixture of electrostatic charges. Some are positively charged, some are negatively charged, and some carry no charge. Generating challenge aerosols that are entirely composed of particles that are only positive, only negative or only neutral can only be done in the laboratory.

#### Methods

Six different models of NIOSH-certified N95 filtering-facepiece respirators from five different manufacturers were used in this study. Each respirator was mounted on a manikin head and sealed using a silicone sealant applied to the edges to prevent face seal leakage. The manikin was then placed in the center of a  $47 \times 24 \times 28$ -inch test chamber. The experimental setup is shown in Figure 1.

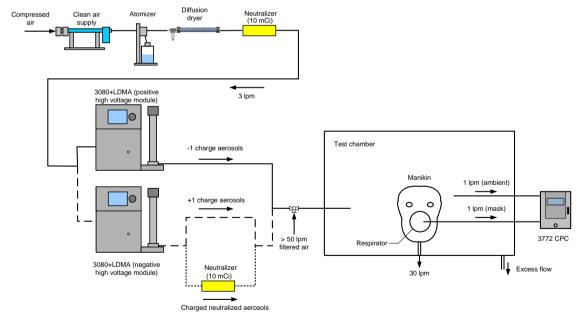


Figure 1: Experimental Setup

Sodium chloride aerosol was generated by an atomizer (TSI 3076 Constant Output Atomizer). A diffusion dryer was then used to dry the aerosols before they were introduced into a 10 mCi Kr-85 neutralizer (TSI 3012A) to remove high charges on the aerosols caused by the atomization process. Two electrostatic classifiers (TSI 3080) and two long differential mobility analyzers (LDMAs) (TSI 3081) were used to generate monodisperse positively and negatively charged aerosols. One electrostatic classifier had a negative-high-voltage module to generate positively charged aerosols while the other one had a positive-high-voltage module to generate negatively charged aerosols. To generate charge-neutralized aerosols, a 10 mCi neutralizer (TSI 3077A)

was placed downstream of one of the electrostatic classifiers. Charge-neutralized, negatively-charged, and positively-charged monodisperse aerosols were introduced into the chamber one at a time in three separate experiments.

Monodisperse aerosols of 40, 50, 65, 80 and 100 nm were used in these experiments. Before entering the test chamber, the aerosol was mixed with at least 50 L/min of filtered air. Since the flow rate from the atomizer was about 3 L/min, the total flow rate entering the test chamber was at least 53 L/min. The flow rate through the N95 respirators was controlled to 30 L/min. The aerosol concentrations inside and outside the respirators were measured with a condensation particle counter (CPC) (TSI 3772). The respirators were ported with a sampling probe so that 1 L/min of sample flow could be drawn from inside the respirators. The challenge (chamber) aerosol samples were taken at about 1.5 inch away from the respirators. To avoid coincidence error, aerosol concentration inside the chamber was kept below 7000 particles/cm³, by adjusting the volume of filtered dilution air. To ensure that the test chamber was properly purged and the aerosol concentrations inside the test chamber were stable and uniform, the following test protocol was used:

- 1. Before each test, the test chamber was purged for at least 20 minutes. This was done using a blower and HEPA filters installed on the test chamber.
- 2. Monodisperse aerosols were introduced into the test chamber for at least 30 minutes before any data was recorded.
- 3. Chamber aerosol concentration data was sampled every second for at least 1 minute with the 3772 CPC (at least 60 samples total).
- 4. Using the same CPC, mask aerosol concentration data was sampled every second for at least 1 minute (at least 60 samples total). This is mask concentration,  $C_{\text{mask}}$ .
- 5. Using the same CPC, chamber aerosol concentration data was sampled every second for at least 1 minute (at least 60 samples total).

The aerosol concentration of the test chamber,  $C_{\text{challenge}}$ , is the average of the two chamber concentrations measured before and after the mask sample.

The fractional penetration efficiency of the respirator was calculated as the ratio of  $C_{mask}$  and  $C_{challenge}$ : ( $C_{mask}/C_{challenge}$ ). Percent penetration =  $100*C_{mask}/C_{challenge}$ 

## **Results and Discussion**

The penetration of positively-charged and negatively-charged aerosols is much lower than that of charge neutralized aerosols (Figure 2). These results are similar to the findings of Lee (etc.) using charge neutralized aerosols of similar size. Lee also found that the polarity of a charged aerosol had no significant effect on the filtration efficiency of a respirator filter.

The necessity of the N95-Companion™ method for fit testing N95 respirators is most clearly shown for respirators 3 and 4, with neutralized aerosol penetrations of approximately 1.5% and 2% respectively. If a particle-counting fit test using charge-neutralized aerosol (i.e., no N95-Companion™ technology) were to be performed on workers wearing these respirators, the maximum fit factors that could be achieved are 67 and 50 respectively (Fit Factor = 100/%Penetration), which are below the OSHA-required fit factor of 100 for a half-facepiece negative pressure respirator. Any face seal leakage would reduce the fit factor even further.

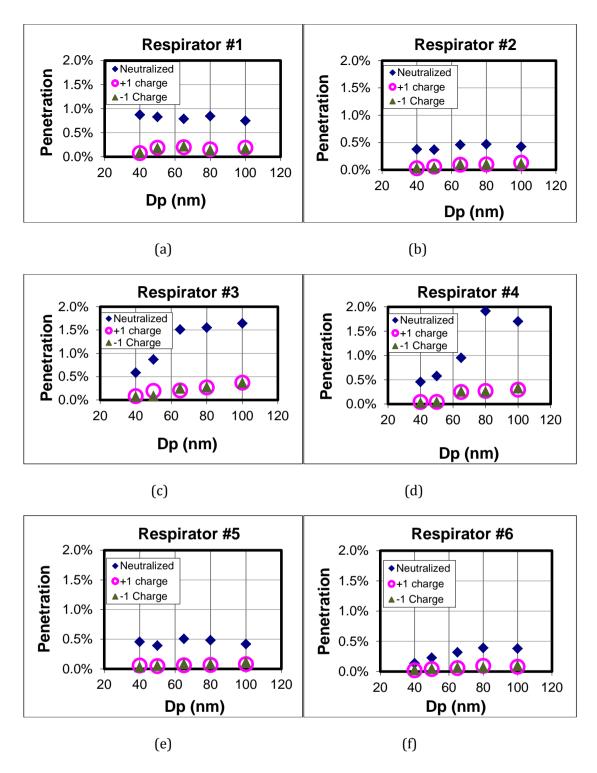


Figure 2: Percent Penetration vs. Particle Size Curves for Various N95 Filtering-Facepiece Respirators

Respirators 1, 2, 5 and 6 all showed neutralized aerosol penetrations of approximately 0.5%, indicating a maximum (zero face seal leakage) fit factor of 200. Thus, it would be possible to pass a fit test without the N95-Companion<sup>m</sup> method if the respirator fit very, very well. However, any additional face seal leakage may cause the fit factor to drop below 100. For example, if the filter penetration is fixed at 0.5%, face seal leakage could not exceed 0.5% or the fit factor would drop below 100 (1% total leakage). This would have the affect of requiring workers to have an actual fit factor of at least 200 to achieve an indicated fit factor of at least 100.

Using a charged aerosol, as utilized in the N95-Companion  $^{\text{\tiny{M}}}$  instrument, all respirators showed penetrations below approximately 0.25% indicating a maximum (zero face seal leakage) fit factor of 400. During an actual fit test, real face seal leakage could be as high as 0.75% or an actual fit factor of 133 and still pass with an indicated fit factor of 100. Respirators 2, 5 and 6 showed extremely low charged aerosol penetrations which would greatly reduce the difference between actual and indicated fit factors. For example, if filter penetration were 0.1%, an actual fit factor of only 111 (0.9%) would be needed to achieve an indicated fit factor of 100. Thus, the use of the N95-Companion  $^{\text{\tiny{M}}}$  method significantly reduces false fit test failures.

## Conclusion

The N95-Companion™ method measures respirator fit using nominal 55 nm particles that carry a negative charge. Penetration of these particles is insignificant for both mechanical and electrostatic filters. For mechanical media, this is due to impaction, interception and diffusion. For electrostatic media, this is due to the aforementioned mechanical forces plus electrostatic forces. Thus, the N95-Companion™ method is valid for measuring the fit of any respirator using NIOSH series-95 or similar filter media.

#### References

- 1. Balazy, A., Toivola, M., Adhikari, A., Sivasubramani, S. K., Reponen, T. and Grinshpun, S. A. (2006). "Do N95 Respirators Provide 95% Protection Level against Airborne Viruses, and How Adequate are Surgical Masks?" *American Journal of Infection Control* 34: 51-57.
- 2. Balazy, A., Toivola, M., Reponen, T., Podgórski, A., Zimmer, A. and Grinshpun, S. A. (2006). "Manikin-Based Performance Evaluation of N95 Filtering-Facepiece Respirators Challenged with Nanoparticles." *Annals of Occupational Hygiene* 50: 259-269.
- 3. Lee, B. U., Yermakov, M. and Grinshpun, S. A. (2005). "Filtering Efficiency of N95- and R95 Type Facepiece Respirators, Dust-Mist Facepiece Respirators, and Surgical Masks Operating in Unipolarly Ionized Indoor Air Environments." *Aerosols and Air Quality* 5: 25-38.
- 4. Rengasamy, S., Verbofsky, R., King, W. P. and Shaffer, R. E. (2007). "Nanoparticle Penetration through NIOSH-approved N95 Filtering-facepiece Respirators." *Journal of the International Society for Respiratory Protection* 24: 49-59

Printed in U.S.A.

- 5. OSHA Respiratory Protection Standard 29 CFR 1910.134.
- 6. NIOSH Respirator Certification Standard 42 CFR 84.



Tel: +49 241 523030

Germany

TSI Incorporated - Visit our website www.tsi.com for more information.

 USA
 Tel: +1 800 874 2811
 India
 Tel: +91 80 67877200

 UK
 Tel: +44 149 4 459200
 China
 Tel: +86 10 8219 7688

 France
 Tel: +33 4 91 11 87 64
 Singapore
 Tel: +65 6595 6388